

Meeting #610

CAPISTRANO BAY DISTRICT  
AGENDA REPORT  
March 31, 2015

---

*Financial Reports*

## ITEM 9

### District Financial Management and Investment Policy

The following is a referral list from our Auditor at Rogers, Anderson, Malody & Scott LLP, for financial professionals familiar with municipal and government finance management who could provide an independent consulting service for the District in developing a financial management and investment policy:

Willdan – Temecula office 951-587-3500  
Called and left message – no return call as of 3/27

Rosenow Spevacek Group – Santa Ana 714-541-4538  
Called and spoke to Dima Galkin who indicated their firm would be interested.

Management Partners, Inc – Orange County 949-222-1082  
Called and left message – no return call as of 3/27/15

Fieldman Rolapp & Associates – Irvine 949-660-8500  
Called and spoke to Tom Johnson who indicated their firm would be interested.  
\*This firm served as Financial Advisor in 2003 to the Assessment District formation to fund the road improvement project. Mr. Johnson was one of the principals who worked on the project and is familiar with the District.

On the following pages are the Auditor's comments and recommendations and a brief outline of what is to be covered in a financial management and investment policy.